ACTION PLAN IMPLEMENTATION STATUS UPDATE REPORT TO THE AUDIT COMMITTEE AS OF DECEMBER 31, 2014

Treaties and Aboriginal Government

Management Practices Audit of the Treaties and Aboriginal Government Sector

APPROVAL DATE: 20-09-2013

PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
1. The Senior Assistant Deputy Minister, TAG Sector, should improve the existing process to provide for a formal, coordinated, and integrated planning process for the Sector consistent with the principles of the Treasury Board's Integrated Planning Handbook for Deputy Ministers and Senior Managers. The process	Agreed. Improved TAG Sector planning already in process and to be integrated into AANDC Corporate planning cycles (i.e., Corporate Business Plan; Quarterly Report). As part of broader TAG planning activities, the Federal Action Plan and Priorities (FAPP) will become a standard, central Sector-wide repository database, which will be	March 31, 2014 March 31, 2014	Status: Pending Implementation Update/Rationale: As of 31/12/2014: Work to improve TAG sector planning has been completed through an analysis of all planning activities and how they fit in the annual cycle which provides for better coordination of planning for TAG sector activities.
should include the establishment of Sector objectives, priorities, risk and performance measures/targets that are aligned and regularly monitored. Improved planning can help to more clearly align, and realign, limited resources to Sector priorities. In addition, to help ensure continued oversight for the	user friendly and will allow endusers to benefit from sharing data seamlessly. Through its dynamic reporting approach, FAPP will support Sector-wide integrated planning and identification of risks. In addition, PDCB and IB will develop a priorities planning document to assess workload priorities and resources and realign as required to meet Branch, Sector and Departmental priorities.	March 31, 2014	The development of an elaborated FAPP called the RBIS (Results-Based Information System) database is developed and user acceptance testing has begun. Full implementation is targeted for March 31, 2015. The database will help monitoring and reporting on negotiation processes and will track the progress over time. Terms of Reference and Records of Decisions for Sector management committees were completed during Fall 2013. AES: Recommend to close. Closed.
Sector, the Senior ADM for TAG should ensure that TORs and records of decision for all Sector	TORs and records of decision for some Sector management committees are documented and properly communicated (such as,	TORs for other Sector management	

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management committees are documented and properly communicated.	for both the DG and Executive Federal Steering Committee meetings) Measures will be taken to ensure all other Sector management committees have TORs and records of decision.	meetings will be completed by October 31, 2013	
The Senior Assistant Deputy Minister, TAG Sector, should clarify and communicate roles and responsibilities across all Branches within the Sector.	Agreed. SADM, TAG will use the Corporate Business Plan and Quarterly Reports identify roles and responsibilities.	March 31, 2014	Status: Completed Update/Rationale: As of 31/03/2014: The Corporate Business Plan has been updated to clearly identify the roles and responsibilities of the various branches of the Sector. AES: Recommend to close. Closed.
3. The Senior Assistant Deputy Minister, TAG Sector, should implement an integrated risk management process that formally assesses and documents the risks of the Sector against its objectives. Risk assessment should include the impact and	TAG has a Sector Risk Profile; at operational level, risks are assessed on regular basis and when required by legal service. Risk Management process also through Table Review In the renovated FAPP database,	March 31, 2014 Ongoing on Annual basis(linked to Corporate	Status: Completed Update/Rationale: As of 31/03/2014: Various Sector risks are also identified in the Corporate Business Plan as well as the mitigation strategies for managing those risks. The Table Review process that assesses all

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likelihood of risks identified with consideration of risk	risk assessment and mitigation will be incorporated systematically into	Business Plan)	sector negotiating tables also contributes to managing risks on a table by table basis.
mitigation measures in place.	the table by table planning process.	March 31, 2014	managing risks on a table by table basis.
Furthermore risk management should be integrated into operational plans and key decision making processes, such as table review and HR planning etc.	FAPP will also enhance our capacity to monitor and assess risks in respect of policy gaps and requirements.		The Results Based Information System, when completed, will incorporate risk assessment and mitigation systematically into the table by table planning process. It will also enhance our capacity to monitor and assess risks in respect of policy gaps and requirements.
			AES: Recommend to close. Closed.