

**ACTION PLAN IMPLEMENTATION STATUS UPDATE
REPORT TO THE AUDIT COMMITTEE - AS OF JUNE 30, 2013**

REGIONAL OPERATIONS			
AUDIT OF ON-RESERVE HOUSING (09090) APPROVAL DATE: 24/09/10			
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
	- ESDPP, in partnership with RO, will participate in a minor capital working group with Regions to review minor capital processes and develop strengthened procedures for managing minor capital funding.	Q3, 2011-12	<p>process, roles and responsibilities for regions and HQ, and risk-based approvals and management approaches are established for the delivery of CFM Program funding.</p> <p>Completed, request to close. Update / Rationale: As of 30/06/2013:</p> <p>Throughout 2012-13, HQ has been working with ON region to implement a new approach to the management and control of minor capital and O&M funding for 2013-14. A Management Control Framework has been developed to indicate the processes and management controls for major and minor proposal based capital and O&M funding to First Nations. It is currently being implemented in the ON region. HQ will continue to work with the region throughout 2013-14 to oversee implementation and compliance and the new MCF. The major/minor proposal based capital/ O&M MCF will ultimately be implemented in other regions and be integrated into the core CFM Program MCF over the coming year.</p> <p>AES: Substantially implemented. Closed.</p>
3. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should conduct a review of the performance indicators and related	- ESDPP, with the support of RO, will ensure Key Performance Indicators (KPIs) for housing align more closely with the program design and AANDC's roles and responsibilities.	Q1, 2011-12	<p>Status: Completed</p> <p>Update/Rationale: As of 31/03/2011:</p>

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			AES: Closed.
5. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector should ensure that comprehensive national guidelines for the management of the housing component of the CFM Program are developed and disseminated.	<p>- The housing portion of the CFMP includes minor capital funding for First Nations covered by the 1996 Housing Policy and Direct Proposal driven housing subsidies for First Nations that did not adopt the 1996 Policy. A practical guidelines document on key housing policy and implementation issues will be developed and disseminated.</p> <p>- In addition to the CFMP, Ministerial Loan Guarantees (MLGs) are an essential component in facilitating the building of social housing (CMHC Section 95) on reserve. Given their importance and the need for consistency in implementation, priority was given to the revision and clarification of MLG policy and the development of the National MLG Manual. (Complete)</p>	Q2, 2011-12	<p>Status: on-going, expected completion date Q2, 2012-13.</p> <p>Update/Rationale: As of 31/03/2012:</p> <p>AANDC has compiled detailed Roles and Responsibilities. Assembly of First Nations to convene a meeting of the working group to develop a joint summary document on the roles and responsibilities of key housing players. Toolkit completed and disseminated.</p> <p>Status on-going, expected completion date Q4, 2012-13.</p> <p>Update / Rationale: As of 30/09/2012:</p> <p>The Assembly of First Nations led working group met in August, 2012 to review draft documentation on Roles and Responsibilities of key stakeholders, including the AFN, CMHC, HC and AANDC. The National First Nations Housing Liaison Committee will meet later this fiscal year to finalize documentation and develop the dissemination strategy.</p> <p>Status: Closed Update/Rationale: As of 31/03/2012:</p>

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			As per September 30/12 update, this is recommended to be closed. AES: Closed- Substantially implemented.
6. The Senior Assistant Deputy Minister, Regional Operations Sector, in collaboration with the Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, should ensure that the risk that First Nations' housing does not transfer to individual homeowners as required for Market Based Housing projects, is appropriately managed.	- AANDC has already taken significant measures to mitigate this risk by conducting workshops in Regions and with First Nations on how to successfully implement the Conversion to Market Based Housing element of CEAP. This included providing template samples of transfer documents for use by First Nations.	Q3, 2010-11	Status: Completed Update/Rationale: As of 31/03/2011: Monitoring conducted but no Regions have identified need for a risk management plan. AES: Closed
	- RO staff actively monitors issues linked to the market based housing element.	Q4, 2010-11	
	- ESDPP and RO will continue to monitor and develop a risk management plan as required.	Q3, 2010-11	
7. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations	- AANDC's new Management Control Framework (MCF) clarifies roles, responsibilities and approval processes in parallel with CEAP implementation. RO continues to work with Regions to	Q4, 2010-11	Status: Completed Update/Rationale: As of 31/03/2011:

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Sector, should ensure that the development of project assessment and funding decision methodologies reflects regional requirements in the design of future programs/initiatives similar to housing funded by CEAP.	<p>fully implement the MCF and will communicate feedback from Regions to ESDPP for consideration in future revisions.</p> <p>- ESDPP and RO are documenting lessons learned from CEAP projects in order to be fully prepared if new project based funding program opportunities occur.</p>	Q4, 2010-11	<p>New MCF to be provided to Ops Committee scheduled for, April 2011.</p> <p>AES: Closed</p>