

**ACTION PLAN IMPLEMENTATION STATUS UPDATE
REPORT TO THE AUDIT COMMITTEE - AS OF DECEMBER 31, 2012**

| REGIONAL OPERATIONS | | | |
|---|---|---------------------------------|---|
| AUDIT OF ON-RESERVE HOUSING (09090) APPROVAL DATE: 24/09/10 | | | |
| PROJECT RECOMMENDATIONS | ACTION PLAN | EXPECTED COMPLETION DATE | PROGRAM RESPONSE |
| | group with Regions to review minor capital processes and develop strengthened procedures for managing minor capital funding. | | <p>Status: On-going Update / Rationale: As of 31/12/2012: A new approach to the management and control of minor capital and O+M funding will be piloted in the Ontario Region in 2013-14. A management control framework for the pilot is being developed and will be ready by April 1, 2013. The results of this pilot project will be used to inform the implementation of this new approach nationally, beginning in 2014-15. The Management Control Framework will be updated at that time to include the minor capital and O+M funding streams.</p> <p>AES: Implementation on-going.</p> |
| 3. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should conduct a review of the performance indicators and related information to be collected for on-reserve housing to ensure that they are aligned with the department's housing program design, and that they can demonstrate achievement of expected outcomes. | - ESDPP, with the support of RO, will ensure Key Performance Indicators (KPIs) for housing align more closely with the program design and AANDC's roles and responsibilities. | Q1, 2011-12 | <p>Status: Completed</p> <p>Update/Rationale: As of 31/03/2011:</p> <p>AES: Closed</p> |
| 4. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant | - ESDPP, in partnership with RO, is working to implement the Performance Management Strategy and Risk Management Framework, which detail | Q2, 2011-12 | <p>A) Status: close Update/Rationale: As of 31/03/2012 request to close as per</p> |

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| <p>Deputy Minister, Regional Operations Sector, should develop and implement a risk management framework specific to on-reserve housing, including a formal monitoring or compliance audit / housing inspections process.</p> | <p>oversight and quality assurance functions for Headquarters and Regions for the CFM Program.</p> <ul style="list-style-type: none"> - ESDPP, in partnership with RO, will work with CFO to develop mechanisms to improve the monitoring of minor capital and operations and maintenance expenditures relevant to housing that are realistic, efficient and based on program and recipient risk. - For First Nations in BC Region under the housing subsidy program, the submission of inspection reports from a building inspector confirming that homes have been built or renovated to code will continue to be a requirement for First Nations to remain eligible for future AANDC funding. | <p>Q2, 2011-12</p> <p>Complete</p> | <p>September 30/11 update.</p> <p>B) Status: on-going, expected completion date Q1, 2012-2013.</p> <p>Update/Rationale: As of 31/03/2012: Performance indicators to be reported on in 2015. Self reported "adequate housing" is completed; housing sub-text of community well-being index is dependent on Statistics Canada Census data; and Valid Housing Plan is awaiting regional input on content in order to develop a finalized definition. Ongoing Regional and community oversight visits are being conducted to assess the management of the CFMP.</p> <p>C) Status: close Update/Rationale: As of 31/03/2012: requested to close as per September 30, 2011 update.</p> <p>AES: Closed.</p> |
| <p>5. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector should ensure that comprehensive national guidelines for the management of the housing component of the CFM Program are developed and disseminated.</p> | <p>- The housing portion of the CFMP includes minor capital funding for First Nations covered by the 1996 Housing Policy and Direct Proposal driven housing subsidies for First Nations that did not adopt the 1996 Policy. A practical guidelines document on key</p> | <p>Q2, 2011-12</p> | <p>Status: on-going, expected completion date Q2, 2012-13.</p> <p>Update/Rationale: As of 31/03/2012: AANDC has compiled detailed Roles and Responsibilities. Assembly of First Nations to convene a meeting of the working group to develop a joint summary document on the roles and responsibilities of key housing players. Toolkit</p> |

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| | <p>housing policy and implementation issues will be developed and disseminated.</p> <p>- In addition to the CFMP, Ministerial Loan Guarantees (MLGs) are an essential component in facilitating the building of social housing (CMHC Section 95) on reserve. Given their importance and the need for consistency in implementation, priority was given to the revision and clarification of MLG policy and the development of the National MLG Manual. (Complete)</p> | | <p>completed and disseminated.</p> <p>Status on-going, expected completion date Q4, 2012-13. Update / Rationale: As of 30/09/2012: The Assembly of First Nations led working group met in August, 2012 to review draft documentation on Roles and Responsibilities of key stakeholders, including the AFN, CMHC, HC and AANDC. The National First Nations Housing Liaison Committee will meet later this fiscal year to finalize documentation and develop the dissemination strategy.</p> <p>Status: Close Update/Rationale: As of 31/03/2012: as per September 30/12 update, this is recommended to be closed.</p> <p>AES: Closed- Substantially implemented.</p> |
| <p>6. The Senior Assistant Deputy Minister, Regional Operations Sector, in collaboration with the Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, should ensure that the risk that First Nations' housing does not transfer to individual homeowners as required for Market Based Housing projects, is appropriately managed.</p> | <p>- AANDC has already taken significant measures to mitigate this risk by conducting workshops in Regions and with First Nations on how to successfully implement the Conversion to Market Based Housing element of CEAP. This included providing template samples of transfer documents for use by First Nations.</p> <p>- RO staff actively monitors issues linked</p> | <p>Q3, 2010-11</p> <p>Q4, 2010-11</p> | <p>Status: Completed</p> <p>Update/Rationale: As of 31/03/2011:</p> <p>Monitoring conducted but no Regions have identified need for a risk management plan.</p> |

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| | <p>to the market based housing element.</p> <p>- ESDPP and RO will continue to monitor and develop a risk management plan as required.</p> | Q3, 2010-11 | AES: Closed |
| <p>7. The Assistant Deputy Minister, Education and Social Development Programs and Partnerships Sector, in collaboration with the Senior Assistant Deputy Minister, Regional Operations Sector, should ensure that the development of project assessment and funding decision methodologies reflects regional requirements in the design of future programs/initiatives similar to housing funded by CEAP.</p> | <p>- AANDC's new Management Control Framework (MCF) clarifies roles, responsibilities and approval processes in parallel with CEAP implementation. RO continues to work with Regions to fully implement the MCF and will communicate feedback from Regions to ESDPP for consideration in future revisions.</p> <p>- ESDPP and RO are documenting lessons learned from CEAP projects in order to be fully prepared if new project based funding program opportunities occur.</p> | <p>Q4, 2010-11</p> <p>Q4, 2010-11</p> | <p>Status: Completed</p> <p>Update/Rationale: As of 31/03/2011:</p> <p>New MCF to be provided to Ops Committee scheduled for, April 2011.</p> <p>AES: Closed</p> |